

Ich habe ja nichts gegen ChatGPT, aber..

About ethical considerations in the
Generative AI field

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About me



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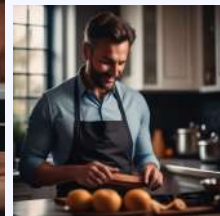
Can you guess my prompt?



← chef cook

self-confident
home cook →

decisive home cook



home cook →



Why is this topic so relevant?

Generative AI as catalyst

- Breakthroughs due to
 - increase of resources
 - easier access to a large amount of data
- Very good results for complex formats
 - Relevance in daily life and business
 - Trust in users increase in systems

Images



[Adobe Firefly](#)

Audio



[podcast.ai](#)

3D Model



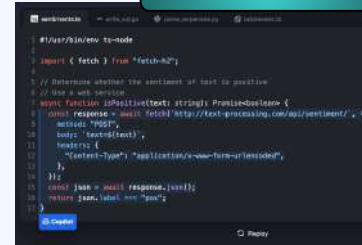
[Stable Zero 123](#)

Video



[Odisha's newsbot Lisa](#)

Code



[Github Copilot](#)

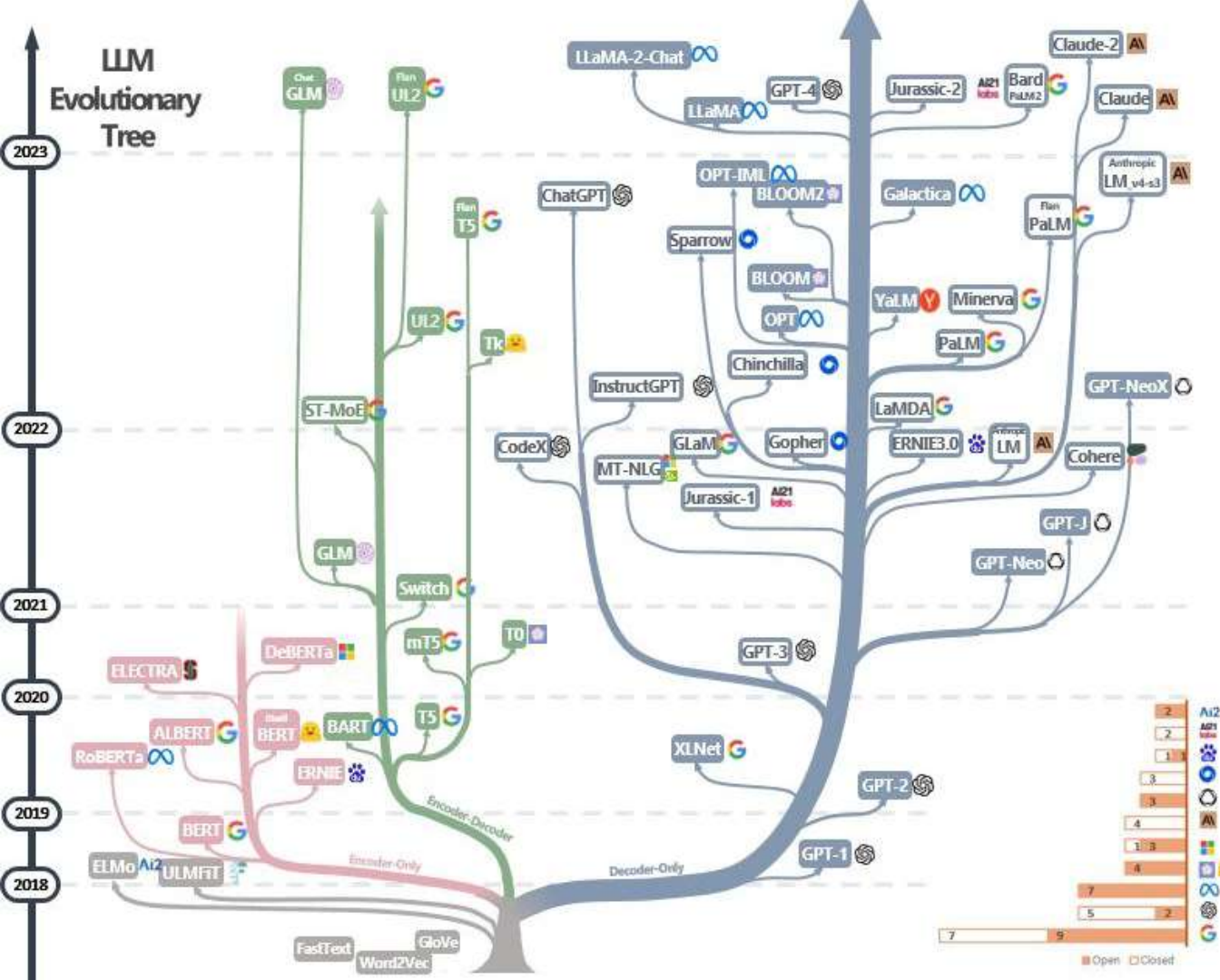
Text



[OpenAI's ChatGPT](#)



inovex



Models become bigger..

- .. and more biased
- Monopol of big tech-giants
- Open source community as a rising big player
- High shipping competition

[LLMs Practical Guide](#)



Concerns are expressed publicly

AI 'godfather' Geoffrey Hinton warns of dangers as he quits Google

2 May · Comments



Pause Giant AI Experiments: An Open Letter

We call on all AI labs to immediately pause for at least 6 months the training of AI systems more powerful than GPT-4.

Signatures
33002

Add your signature

Published
March 22, 2023

AI systems with human-competitive intelligence can pose profound risks to society and humanity, as shown by extensive research^[1] and acknowledged by top AI labs.^[2] As stated in the widely-

OpenAI's Sam Altman Urges A.I. Regulation in Senate Hearing

The tech executive and lawmakers agreed that new A.I. systems must be regulated. Just how that would happen is not yet clear.

“There's the risk of producing a lot of fake news, so nobody knows what's true anymore.”

What are the concerns?

Unknown user behavior can lead to uncontrolled consequences

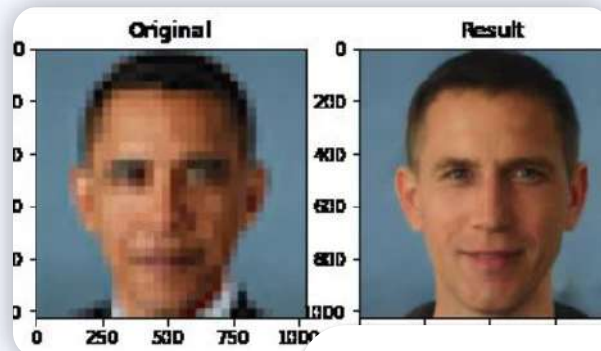


Sensitive processes are relied on



Reinforcement of Bias

- Difficult measurement & prediction
- Less diverse dataset can lead to unwanted outcome
- Decision paths are not clearly/consciously perceived



Psychological harm

User's trust is gained due to good content.
Validation comes short.



Attorneys Face Sanctions After Citing Information 'Hallucinated' by ChatGPT

Truthfulness

- First appearance might deceive
- Generated content is partially senseless and factually not verified (“hallucination”)

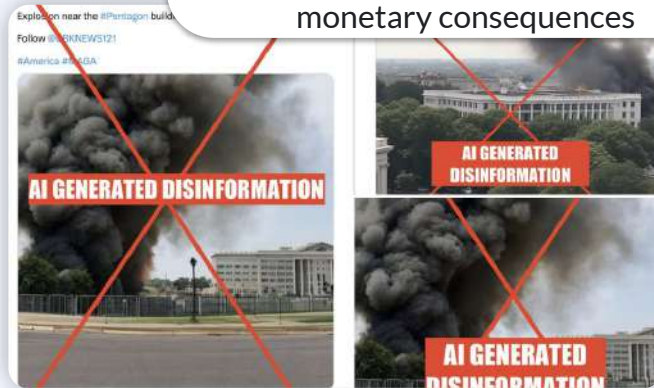
A one-sided, non-neutral
representation of the
world is created



Deepfakes can manipulate public opinions



Fake news can have reputational and monetary consequences



Harmful Content

- Fear of conscious spread of false information
- Fast content spread leads to a lack of willingness to verification by users



Content is created incredibly realistic

Big progress comes with new challenges



Artists *sue* AI art generators over copyright infringement

Privacy & Copyright

- There are many open questions to authorship and copyright protection
- Unresolved issues are dealt with in current procedures

Commercial use is discussed



GitHub *Copilot*, Copyright Infringement and Open Source Licensing

Security (Prompt Injection, leakages, ..)

Environment

Gigification

Job replacement

Lobbyism

Emotional bonding

Exploitation

...

And many more..

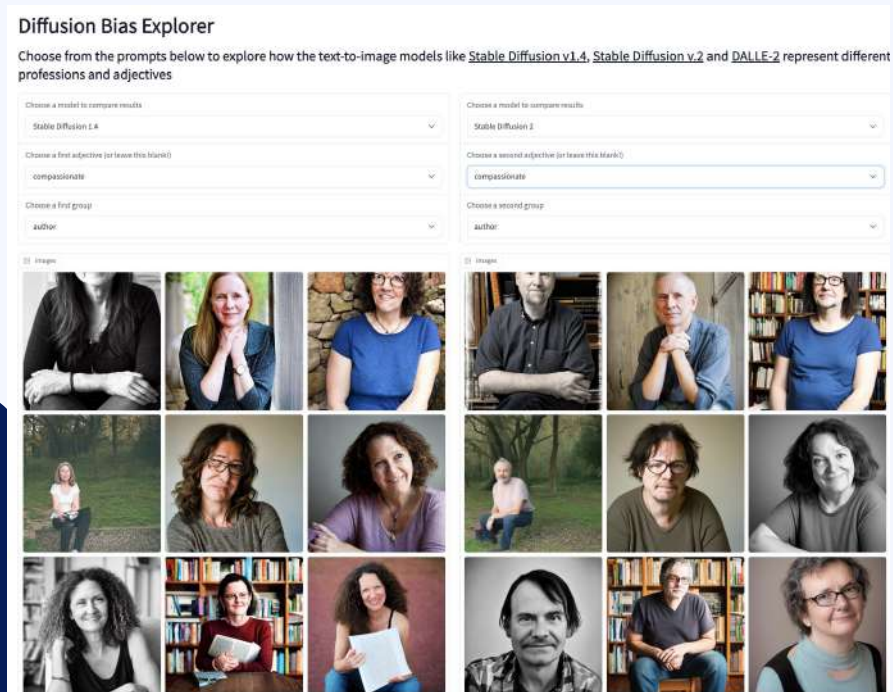
- The Generative AI era brings new challenges with open questions
- Responsibility of consequences is unclear

**How can we tackle
those concerns?**

- Analyze output, e.g. with bias detectors
 - Compare results of different prompts
 - Visualize your outputs
- Use scores for e.g. toxicity and polarity

Mitigate Bias

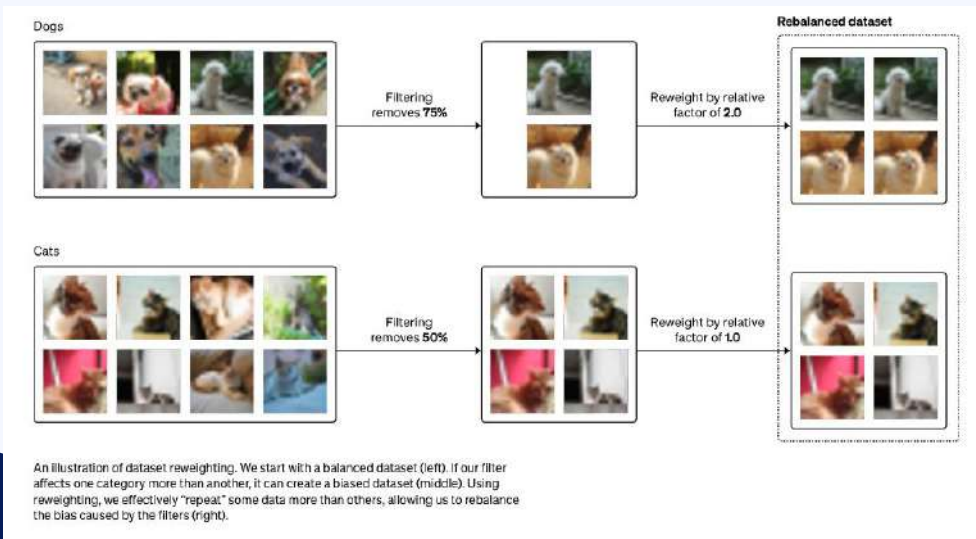
User's perspective



[Diffusion Bias Explorer by Hugging Face](#)



- Measure a diverse representation
- Continuously detect bias in all of your development steps



Mitigate Bias

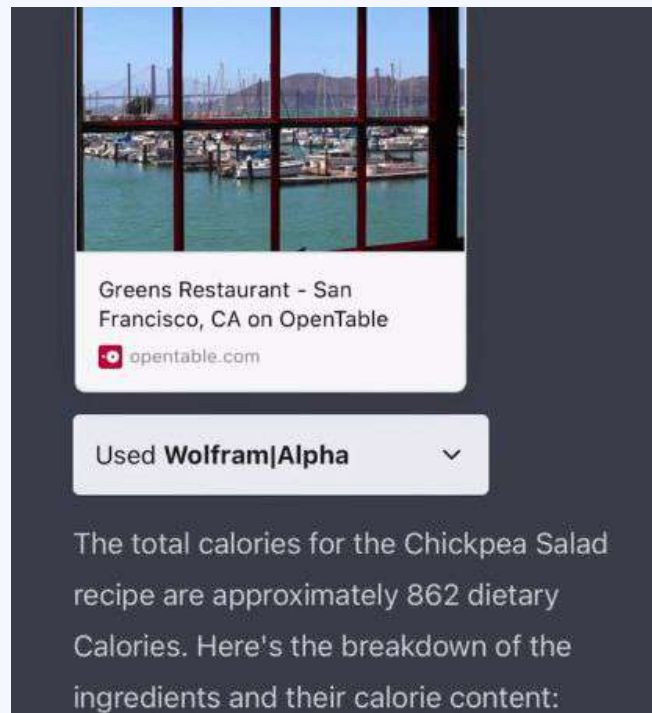
Developer's perspective

[DALL-E 2 pre-training mitigations](#) shows that mitigating bias can lead to further (unknown) bias

- Integrate fast-checking mechanisms or knowledge sources
- Use the full potential of LLMs with Prompt Engineering

Verify Truthfulness

User's perspective

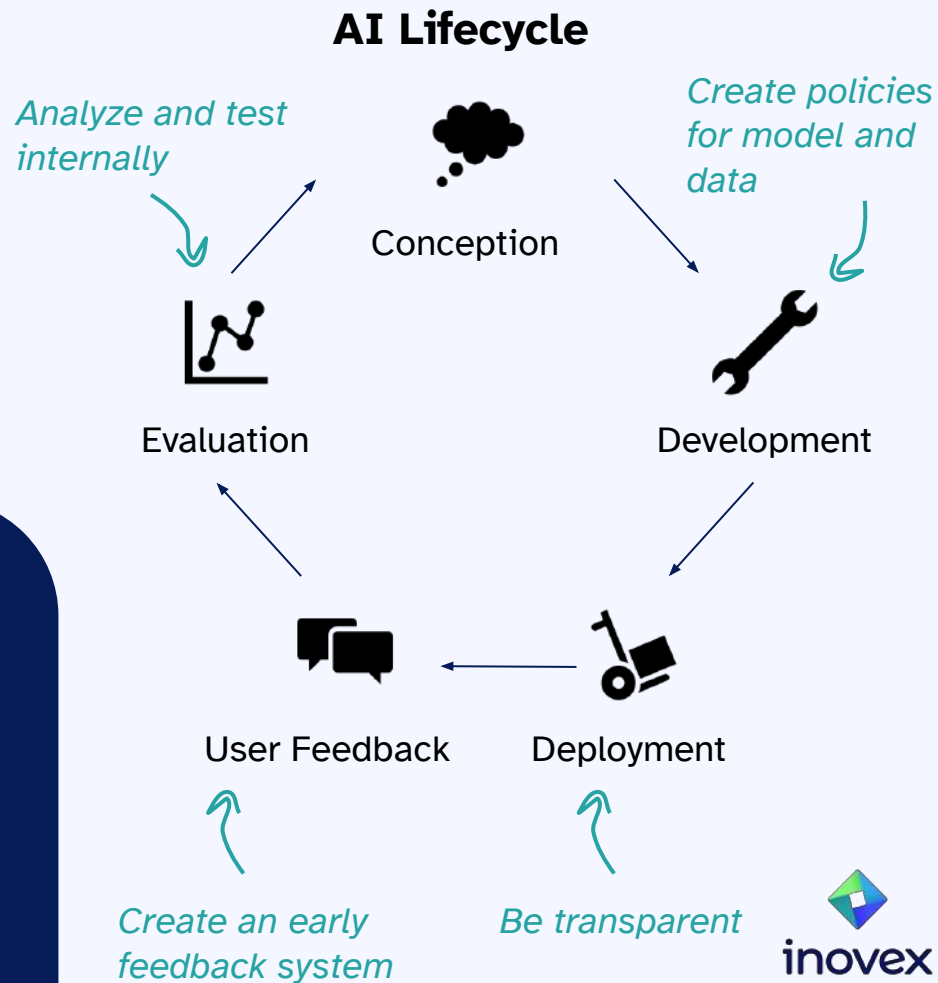


[ChatGPT Plugins](#) add context to the prompts and thus prevent hallucinations

- Use metrics (e.g. Accuracy, Refuse to Answer) with an evaluation dataset
- Integrate domain data with Retrieval-Augmented Generation (RAG)
- Guarantee reliability with human-in-the-loop processes

Verify Truthfulness

Developer's perspective









Moderation tools flag harmful content

- Text: classification of emotion and content
- Image: identification of objects and segments
- ..

Detect Harmful Content

User's perspective

		 Jigsaw			 GIFCT Global Internet Forum to Counter Terrorism	 Microsoft
system	content ID	perspective API	quality filter	toxic speech classifiers	shared-industry hash database	photoDNA
issue area	copyright	hate speech	spam, harassment	hate speech, bullying	terrorism	child safety
target content	audio, video	text	text, accounts	text	images, video	images, video
core tech	hash-matching	prediction (NLP)	prediction (NLP)	prediction (NLP), deep-learning	hash-matching	hash-matching
human role	trusted partners upload copyrighted content	label training data and set parameters for predictive model	label training data and set parameters for predictive model	label training data and set parameters for predictive model; make takedown decisions based on flags	trusted partners suggest content, add content to database	civil society groups add content to database

Popular AI content moderation tools

Establish ethical guidelines:

- Identify potential harms
 - Decide based on measurement metrics
 - Use responsible classifiers and filters
- Know your users

Detect Harmful Content

Developer's perspective

```
1 {
2   "id": "modr-XXXX",
3   "model": "text-moderation-005",
4   "results": [
5     {
6       "flagged": true,
7       "categories": {
8         "sexual": false,
9         "hate": false,
10        "harassment": false,
11        "self-harm": false,
12        "sexual/minors": false,
13        "hate/threatening": false,
14        "violence/graphic": false,
15        "self-harm/intent": false,
16        "self-harm/instructions": false,
17        "harassment/threatening": true,
18        "violence": true,
19      },
20      "category_scores": {
21        "sexual": 1.2282971e-06,
22        "hate": 0.010696256,
23        "harassment": 0.29842457,
24        "self-harm": 1.5236925e-08,
25        "sexual/minors": 5.7246268e-08,
26        "hate/threatening": 0.0060676364,
27        "violence/graphic": 4.435014e-06,
28        "self-harm/intent": 0.090441e-10,
29        "self-harm/instructions": 2.8498655e-11,
30        "harassment/threatening": 0.63055265,
31        "violence": 0.99011086,
32      }
33    }
34  ]
35 }
```

[Moderation - OpenAI API](#)

- Inform yourself about the tools' training data, e.g. with model cards
- Use audit program / tools that annotate authorship of generated content
- Get a license for the generated content

Ensure Privacy & Copyright

User's perspective

LLaMA Model Card

Model details

Organization developing the model The FAIR team of Meta AI.

Model date LLaMA was trained between December. 2022 and Feb. 2023.

Model version This is version 1 of the model.

Model type LLaMA is an auto-regressive language model, based on the transformer architecture. The model comes in different sizes: 7B, 13B, 33B and 65B parameters.

Paper or resources for more information More information can be found in the paper "LLaMA, Open and Efficient Foundation Language Models", available at <https://research.facebook.com/publications/llama-open-and-efficient-foundation-language-models/>.

Citations details <https://research.facebook.com/publications/llama-open-and-efficient-foundation-language-models/>

License Non-commercial bespoke license

Where to send questions or comments about the model Questions and comments about LLaMA can be sent via the [GitHub repository](#) of the project , by opening an issue.

[Model Card Llama](#)

Update July 2023: [Llama 2](#) is now available for commercial use

- Evaluate your needs first:
 - What do we actually want?
 - Can we deal with smaller models and less, but high quality data?
- Carefully select datasets based on consent, copyright & licenses

Ensure Privacy & Copyright

Developer's perspective



The Stack is an open governance interface between the AI community and the open source community.

Am I in The Stack?

As part of the BigCode project, we released and maintain **The Stack**, a 6 TB dataset of permissively licensed source code over 300 programming languages. One of our goals in this project is to give people agency over their source code by letting them decide whether or not it should be used to develop and evaluate machine learning models, as we acknowledge that not all developers may wish to have their data used for that purpose.

This tool lets you check if a repository under a given username is part of The Stack dataset. Would you like to have your data removed from future versions of The Stack? You can opt-out following the instructions [here](#).

The Stack version:

v1.2

Your GitHub username:

Check!

Trend to responsible dataset, e.g. [Am I in The Stack?](#) with [opt-out request](#)



Key takeaways



As a user:

- Critically perceive generated content
- Use tools and metrics to measure harmful and biased content



As a developer:

- Use established guidelines for the development of AI products
- Stay up-to-date with research and regulation



Everyone:

- Continuously analyze and re-evaluate ethical concerns
- Educate others about capabilities of Generative AI models


Thank you!





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Further Pointers

Status of January 2024

First Attempts of Regulation

The Artificial Intelligence Act is the first wide law for AI

China demands that AI services need to be based on the "core values of socialism". Thus, the country released the first four politically approved LMs in Dec 2023.

- NYC Local Law 144 goes into effect for AI in recruitment (July 2023)
- Colorado implemented the first law regulating AI in life insurance (Nov 2023)
- California ordered insurers to notify regulators when their algorithms results in increase to a customer's premium (prevent excessiveness or discrimination) (Mid year 2023)

Australia responded to safe and responsible AI in interim

→ Find further updates in a Global AI Regulation Tracker

Frameworks

- [Singapore's approach with a practical framework](#)
- [Montréal Declaration for Responsible AI Development](#)
- [AI regulation: a pro-innovation approach of the UK](#)
- [Blueprint for an AI Bill of Rights](#)
- [AI Risk Management Framework \(NIST\)](#)
- [Copyright registration guidance for AI-generated content](#)

Evaluation and Values

Evaluation:

- Metrics: [AI Fairness Toolkit](#)
- Overview of LLM evaluations: [Helm Benchmark](#)
- Open Models, datasets, evaluation: [LMSYS Org](#)
- Trustworthiness Benchmarks: [TrustLLM](#)

Hugging Face:

- [Ethics & Society at Hugging Face](#)
- [BigScience Ethical Charter](#)
- [LLM Safety Leaderboard](#)

Readings

Reports:

- [AI Index Report 2022 \(Stanford University\)](#)
- [LLM Survey Report of the MLOPS Community](#)
- [Walking in the Walk of AI Ethics in Technology Companies \(Stanford University\)](#)

About bias evaluation:

- Bias in Stable Diffusion visualized in [Bloomberg's graphics](#)
- Algorithmic Bias in the [Gender Shades project](#)
- [What is Bias and Toxicity in LLMs?](#)
- [Recent research on bias, toxicity and jailbreaking LLMs](#)

Movements in Copyright & Privacy

[Glaze](#) is a tool that focuses on the protection of intellectual property of artists by cloaking images so that AI systems cannot steal artistic styles.

[Watermarking](#) is the process of marking AI generated content with a unique signal.

[Google Deepmind](#), [Meta](#) have introduced watermark techniques for content created by open-source generative AI.